

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Joun Ho Lee et al

GROUP ART UNIT: 2871

SERIAL NUMBER: 09/736,335

EXAMINER: Unassigned

FILED: December 15, 2000

FOR: **METHOD OF MANUFACTURING A COLOR FILTER FOR IN-PLANE SWITCHING MODE LIQUID CRYSTAL DISPLAY DEVICE**

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The Applicant(s) wish to make of record the references listed on the attached Form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending applications or issued patents which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

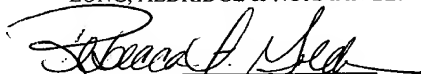
- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LONG, ALDRIDGE & NORMAN LLP



Rebecca A. Goldman
Attorney of Record
Registration No. 41,786

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Telephone No: (202) 624-1200
Facsimile No: (202) 624-1298
Date: March 19, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Respectfully submitted,

LONG, ALDRIDGE & NORMAN LLP

A handwritten signature in cursive script, appearing to read "Rebecca A. Goldman".

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Date: March 19, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joun Ho Lee et al.

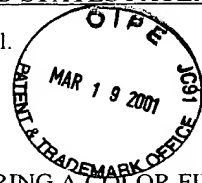
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LIQUID CRYSTAL DISPLAY DEVICE



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TECHNOLOGY CENTER 2800

RELATED CASES STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant hereby provides a list of pending U.S. applications or issued patents which may be related to the present application.

<u>Examiner's Initial</u>	<u>U.S. Serial Number</u>	<u>Filing Date</u>	<u>Inventor Name</u>	<u>Our Ref.</u>
_____	09/365,634	08/1999	HIROSHI	8733.20031
_____	08/880,068	06/1997	HIROSHI	8733.6642
_____	09/143,624	08/1998	SHIN et al.	8733.6663
_____	09/079,894	05/1998	SEO	8733.6665
_____	09/613,730	07/2000	HIROSHI	8733.20121
_____	09/079,895	05/1998	OH	8733.6660
_____	09/348,704	07/1999	LEE et al.	8733.6967
_____	09/134,405	08/1998	HAM	8733.6833
_____	09/149,746	09/1998	SEO	8733.6867
_____	09/235,205	01/1999	SEO et al.	8733.6901
_____	09/271,153	03/1999	SON et al.	8733.6990

Date Considered: _____

Respectfully submitted,

LONG, ALDRIDGE & NORMAN LLP

Date: March 19 2001

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Rebecca A. Goldman
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Form PTO 1449

(Modified)

U.S. DEPARTMENT OF
COMMERCE
PATENT AND TRADEMARK
OFFICE

ATTY-DOCKET NO.:
8733.343.00

SERIAL NO.:
09/736,335

APPLICANT:
Joun Ho Lee et al.

LIST OF REFERENCES CITED BY APPLICANT
(Use Several Sheets if Necessary)

FILING DATE:
December 15, 2000

GROUP:
2871

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,870,160	02/1999	YANAGAWA et al.	349	141	
	AB	5,886,762	03/1999	LEE et al.	349	141	
	AC	5,907,380	05/1999	LIEN	349	141	
	AD	5,910,271	05/1999	OHE et al.	252	299.01	
	AE	5,914,762	06/1999	LEE et al.	349	141	
	AF	5,946,067	08/1999	KIM et al.	349	141	
	AG	5,956,111	09/1999	OHTA et al.	349	141	
	AH	5,959,708	09/1999	LEE et al.	349	143	
	AI	5,969,782	10/1999	LEE et al.	349	141	
	AJ	5,977,562	11/1999	HIRAKATA et al.	257	72	
	AK	5,995,186	11/1999	HIROSHI	349	141	
	AL	6,005,650	12/1999	KIM et al.	349	130	
	AM	6,040,887	03/2000	MATSUYAMA et al.	349	141	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AN	09-269507	10/1997	Japan		
	AO	09-325346	12/1997	Japan		
	AP	10-319436	12/1998	Japan		
	AQ	09-105918	4/1997	Japan		
	AR	09-258269	10/1997	Japan		
	AS	97-10530	03/1997	WO		
	AT					
	AU					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AV	
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

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	AA	5,737,051	04/1998	KONDO et al.	349	141	
	AB	5,742,369	04/1998	MIHARA et al.	349	123	
	AC	5,745,207	04/1998	ASADA et al.	349	141	
	AD	5,757,455	05/1998	SUGIYAMA et al.	349	129	
	AE	5,760,856	06/1998	YANAGAWA et al.	349	42	
	AF	5,760,857	06/1998	YANAGAWA et al.	349	43	
	AG	5,786,876	04/1998	OTA et al.	349	42	
	AH	5,793,459	08/1998	TOKO	349	128	
	AI	5,831,701	11/1998	MATSUYAMA et al.	349	110	
	AJ	5,841,499	11/1998	BAUR et al.	349	141	
	AK	5,852,485	12/1998	SHIMADA et al.	349	141	
	AL	5,859,682	01/1999	KIM et al.	349	124	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AM	09-043589	02/1997	Japan		
	AN	09-043590	02/1997	Japan		
	AQ	09-101538	4/1997	Japan		
	AP	09-105908	4/1997	Japan		
	AQ	09-033946	02/1997	Japan		
	AR	09-005793	1/1997	Japan		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AS	
EXAMINER	DATE CONSIDERED	

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Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: 8733.343.00	SERIAL NO.: 09/736,335
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)		APPLICANT: Joun Ho Lee et al.	
		FILING DATE: December 15, 2000	GROUP: 2871

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,239,346	12/1980	LLOYD	350	334	
	AB	4,726,659	02/1988	CONRAD et al.	350	341	
	AC	5,307,189	04/1994	NISHIKI et al.	359	59	
	AD	5,321,535	06/1994	UKAI et al.	359	55	
	AE	5,459,596	10/1995	UEDA et al.	359	59	
	AF	5,464,669	11/1995	KANG et al.	428	1	
	AB	5,492,762	02/1996	HIRAI et al.	428	447	

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AG	0 368 260	5/1990	Europe	
	AH	0 588 568	3/1994	Europe	
	AI	0 749 029	12/1996	Europe	
	AJ	96-32049	09/1996	Korea	
	AK	97-22458	05/1997	Korea	
	AL	98-040330	08/1998	Korea	
	AM	98-083765	12/1998	Korea	
	AN	60-158421	08/1985	Japan	
	AO	62-078532	04/1987	Japan	

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AP	S. Matsumoto, "Display Characteristics of In-Plane Switching (IPS) LCDs and a Wide-Viewing-Angle 14.5-in. IPS TFT-LCD; Euro Display '96, pages 445-448
AQ	H. Wakemoto, "An Advanced In-Plane-Switching Mode", TFT-LCD, 1997 SID Digest, pages 929-932.
AR	M-Oh-e, "Principles and Characteristics of Electro-Optical Behaviour with In-Plane Switching Mode", Asia Display '95, pages 577-580.

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	AA	5,576,858	11/1996	UKAI et al.	359	59	
	AB	5,598,285	01/1997	KONDO et al.	349	39	
	AC	5,600,464	02/1997	OHE et al.	349	123	
	AD	5,602,662	02/1997	ROSENBLATT et al.	349	130	
	AE	5,608,556	03/1997	KOMA	349	143	
	AF	5,646,705	07/1997	HIGUCHI et al.	349	143	
	AG	5,686,019	11/1997	NAKAMURA	252	299.01	
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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AH	04-067127	03/1992	Japan			
	AI	06-160878	06/1994	Japan			
	AJ	06-273803	09/1994	Japan			
	AK	07-036058	02/1995	Japan			
	AL	07-134301	05/1995	Japan			
	AM	07-225388	08/1995	Japan			
	AN	08-062586	03/1996	Japan			
	AO	09-005763	01/1997	Japan			
	AP	09-005764	01/1997	Japan			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AQ	M. Ohta, "Development of Super-TFT-LCDs With In-Plane Switching Display Mode", 1995, Asia Display '95, pp. 707-710.					
	AR	S. H. Lee, "High-Transmittance, Wide-Viewing-Angle Nematic Liquid Crystal Display Controlled by Fringe-Field Switching", Asia Display '98, pp. 371-374.					
	AS	R. Kiefer, "In-Plane Switching of Nematic Liquid Crystals", Japan Display '92, pp. 547-550.					
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